

Safety Assessment for Highly Automated Vehicles

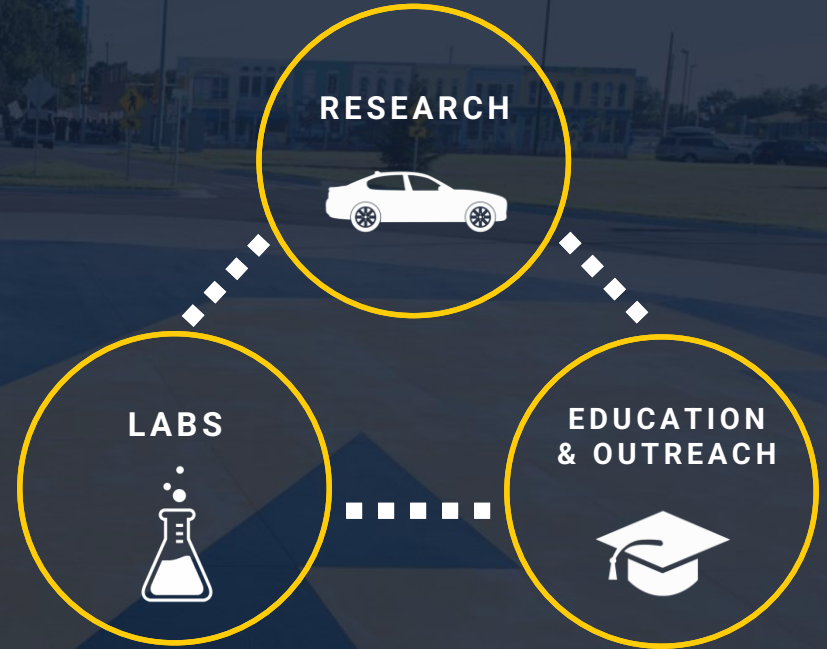
Henry Liu

Bruce D. Greenshields Professor of Engineering
Director, Mcity

Director, Center for Connected & Automated Transportation
University of Michigan, Ann Arbor

December 14, 2023

Mcity and Center for Connected Automated Transportation (CCAT)





Mcity test facility is the world's first purpose-built proving ground for connected and automated vehicles.

Mcity was built by a public-private partnership between UM, MDOT, and our industry partners. Mcity was open for operation in July 2015.

Agenda

- 1. Safety Challenges for Autonomous Vehicles (AVs)**
- 2. Mcity Safety Assessment Program for AVs**
 - 1. AV Driver Licensing Test**
 - 2. AV Driving Intelligence Test**
- 3. Mcity 2.0**

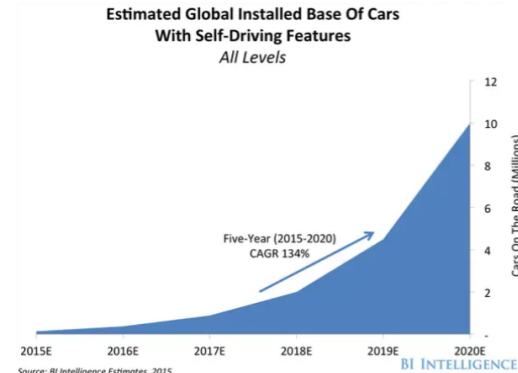
Automated Vehicle Technology Hypes in 2016

[HOME](#) > [TECH](#)

10 million self-driving cars will be on the road by 2020

Insider Intelligence , BI Intelligence Updated Jun 15, 2016, 7:25 AM

Self-driving cars are no longer a futuristic idea. Companies like Mercedes, BMW, and Tesla have already released, or are soon to release, self-driving features that give the car some ability to drive itself.



BI Intelligence

Automated Vehicle Technology Downfalls in 2022

FORTUNE

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A long, cold winter is here for self-driving cars

BY **ALEXEI ORESKOVIC**

October 18, 2022 at 3:11 PM CDT



Cruise suspends all driverless operations nationwide

Cruise, GM's robotaxi service, suspends all driverless operations nationwide

Associated Press

Published 12:46 p.m. ET Oct. 27, 2023 | Updated 12:47 p.m. ET Oct. 27, 2023

[View Comments](#)



NEW YORK — Cruise, the autonomous vehicle unit owned by General Motors, is suspending driverless operations nationwide days after regulators in California found that its driverless cars posed a danger to public safety.

The California Department of Motor Vehicles [revoked the license](#) for Cruise, which recently began transporting passengers [throughout San Francisco](#), this week.

Cruise is also being investigated by U.S. regulators after receiving reports of potential risks to [pedestrians](#) and [passengers](#).

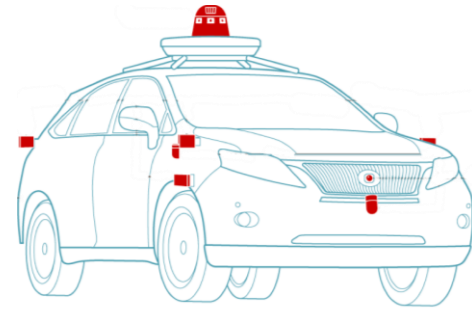
Major gap exists in safety performance

Human Drivers



1 accident every $\sim 10^6$ miles

Automated Vehicles



**1 disengagement every
 $\sim 10^4$ miles**

[2020 Disengagement Report from California DMV](#)

Curse of Dimensionality (CoD)

The CoD Problem is that when the dimensionality increases, the volume of the space increases so fast that the available data become sparse.

Weather



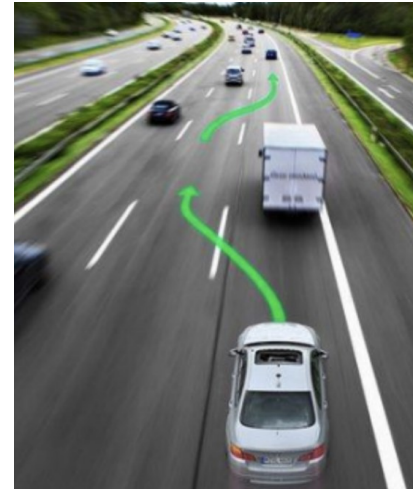
Road Infrastructure



Road Users

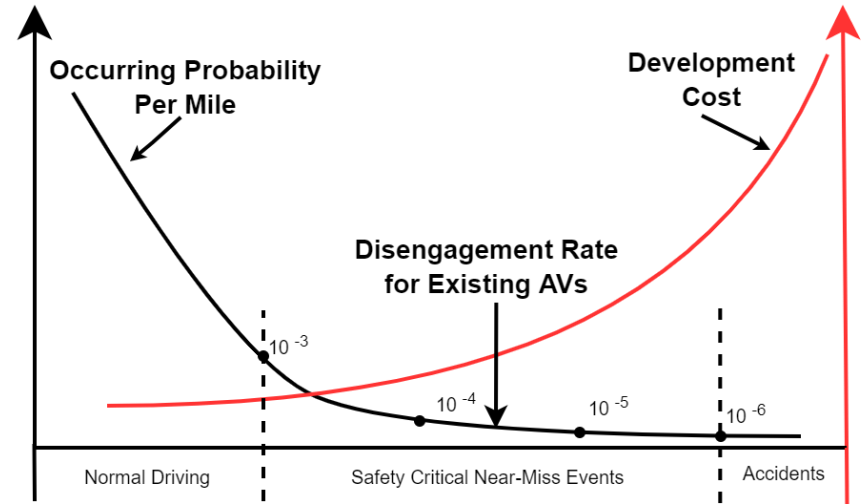


Maneuvers



Curse of Rarity (CoR)

- The basic concept of CoR is that the occurrence probability for the events of interest is so rare that most available data contain little information regarding the rare events.
- Similar challenges exist for other safety-critical autonomous systems such as medical AI, aerospace autonomous system, and battery management, etc.



Liu, H. and Feng, S. (2022) "Curse of Rarity" for Autonomous Vehicles, Preprint at <https://arxiv.org/abs/2207.02749>

Safety Challenges for AVs

For AVs, both CoR and CoD problems exist — the rarity of safety-critical events in high-dimensional driving environments — are the root causes of various safety challenges in the development and deployment of AVs.

The current deep learning algorithms cannot handle this type of cases.

AV Safety Validation Challenges

1.1 deaths per 100 million miles (According to NHSTA in 2019)

To prove AV's are 20% better than human drivers using a fleet of 100 AV's driving 25 mph:

Avoiding Crashes – 28M miles (1.3 years)

Avoiding Injuries – 170M miles (7.6 years)

Avoiding fatalities – 5B miles (225 years)

Kalra, Nidhi and Susan M. Paddock, Next Stop, Neptune? Why We Can't Rely on Test-Driving Alone to Assess the Safety of Autonomous Vehicles, Santa Monica, Calif.: RAND Corporation, IG-128, 2017. As of April 08, 2021: <https://www.rand.org/pubs/infographics/IG128.html>

Existing testing methods

Simulation



Low Fidelity

Test Track



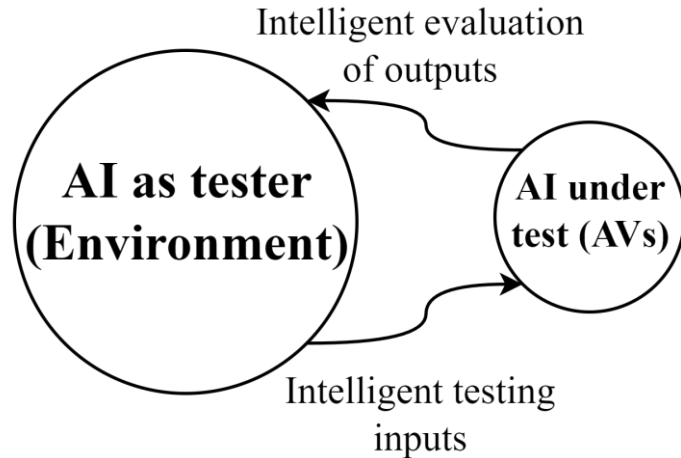
Lack of Traffic

On-Road



**Time & Cost
Expensive**

Our approach: AI against AI



Intelligent
Testing
Environment

vs.

Intelligent Agent

Intelligence is needed to test & evaluate another intelligence

Mcity Safety Assessment Program for Autonomous Vehicles

Part 1: Driver Licensing Test

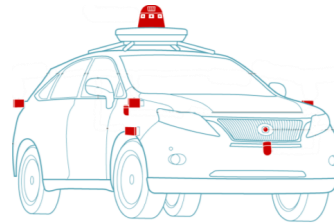
- Basic behavior competency evaluation to ensure minimum performance levels
- Select scenarios and test case parameters based on ODD



Part 2: Driving Intelligence Test

- Comprehensive performance evaluation to specify occurrence rate of measurable safety behavior
- In comparison to a human reference driver in naturalistic driving environment

V.S.



Automated
Driving System

Driver Licensing Test

- Many million-miles through **Accelerated evaluation** for systematic thoroughness



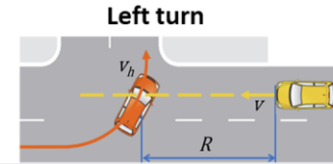
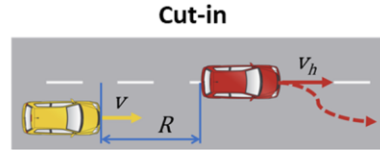
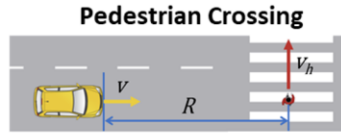
- **Behavior competence**

- Scenario Library
- Select scenarios and test case parameters based on ODD

- **Corner cases**

- Examine AV at the “safety boundary”

Driver Licensing Test (Cont'd)



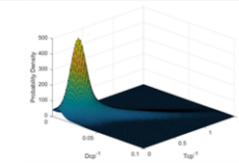
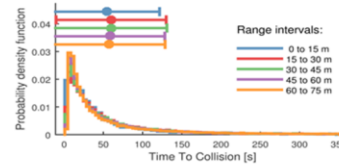
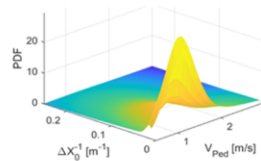
Naturalistic driving data

2,689 events (camera)

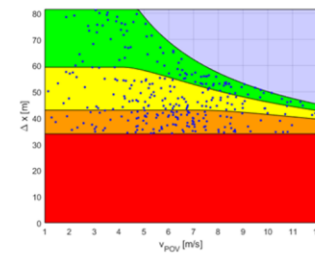
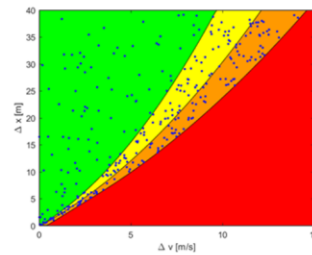
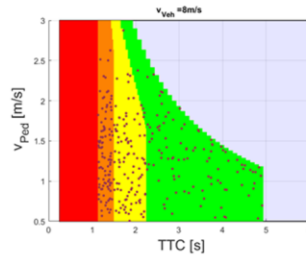
145,595 events (SPMD)

1,055 events (IVBSS)

Stochastic model



Accelerated evaluation framework and simulation



Driver Licensing Test



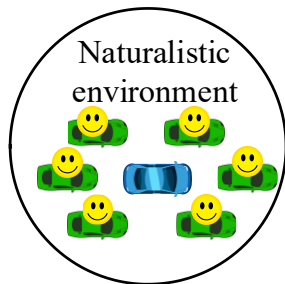
Cut-in



Left-turn

Driving Intelligence Test

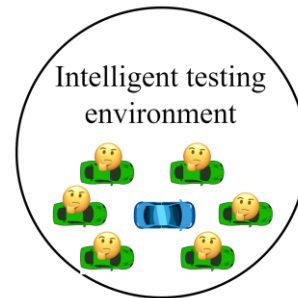
Naturalistic
Driving Data
(NDD)



Naturalistic Driving
Environment (NDE)

To reproduce the real-
world traffic environment

Unbiasedness



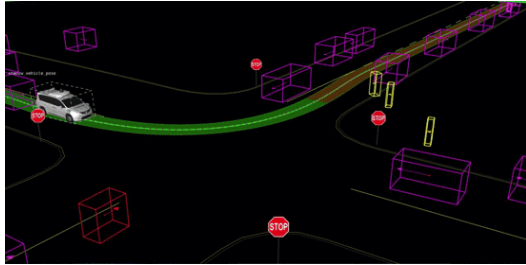
Naturalistic and
Adversarial Driving
Environment (NADE)

To accelerate the
AV testing process

Efficiency

Limitations of existing NDE models

Replay Logged Data



Source: Waymo



- Non-reactive
- Not scalable and efficient

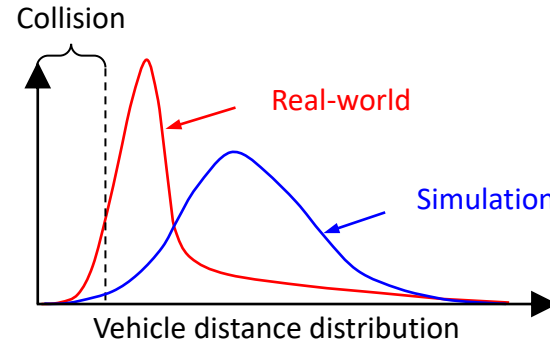
Heuristic Rules



- Oversimplified based on heuristic rules
- Hard to generalize and model complex scenarios and interactions

NDE simulators could be misleading!

Example of yielding at a roundabout



Statistical
Unrealism



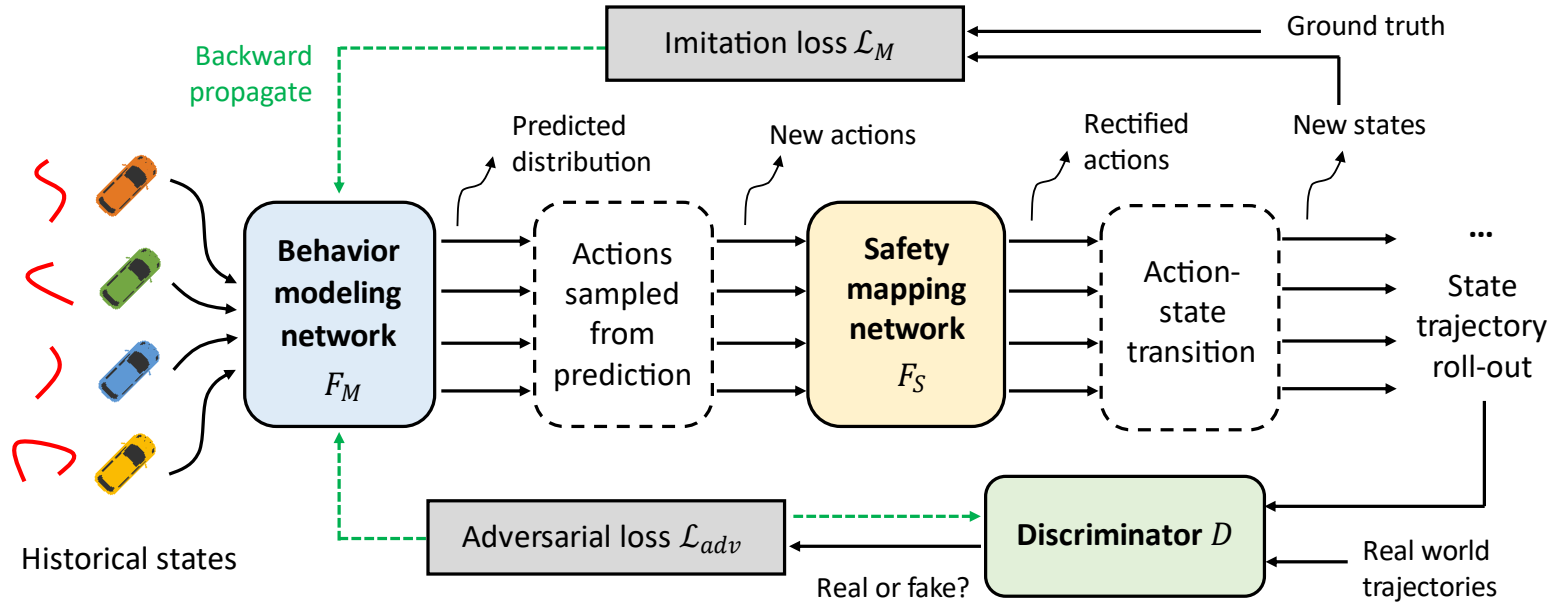
Could mislead the
AV development

E.g., Underestimate
the AV accident rate

E.g., Train aggressive
AV yielding models

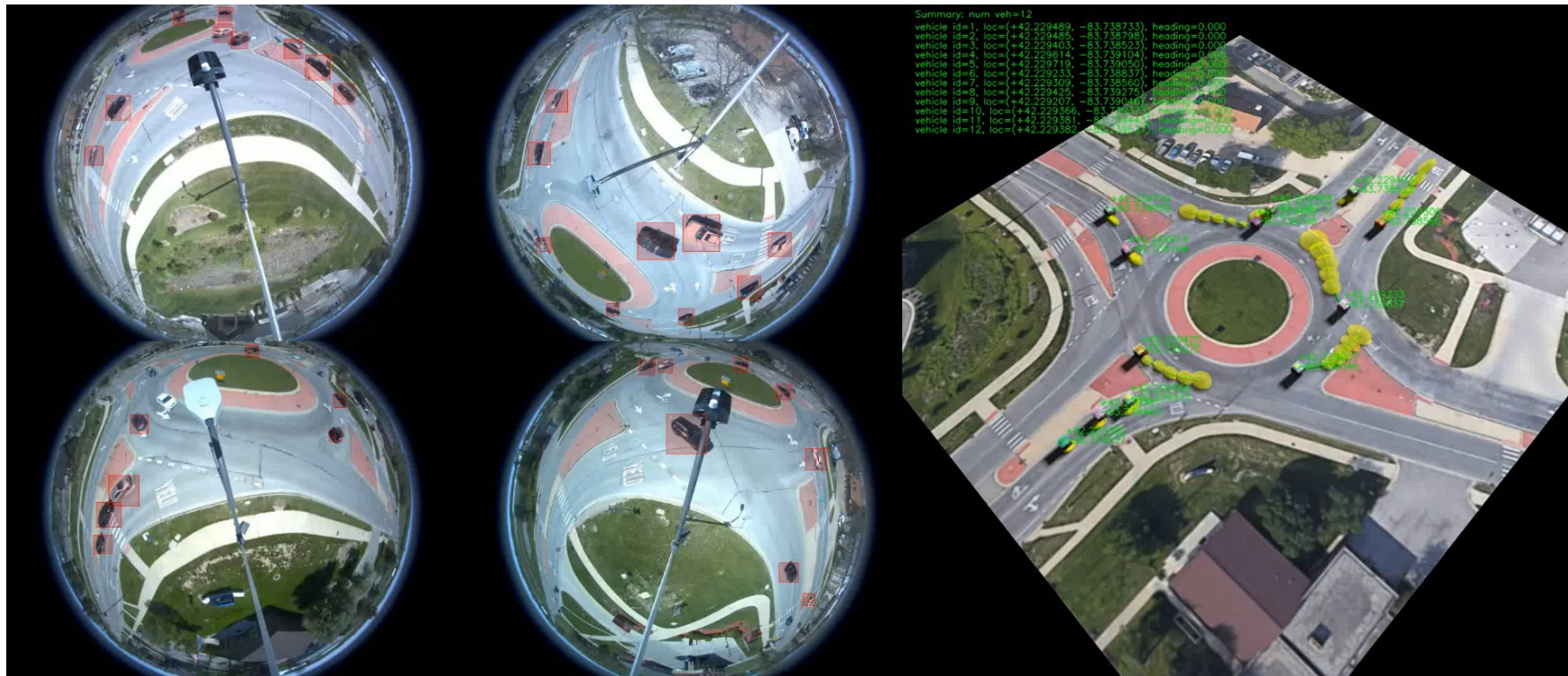
NeuralNDE: A deep learning based framework

- Model long-term multi-agent interaction behaviors in complex traffic environment without any heuristic rules.



Yan et al., 2023, Learning naturalistic driving environment with statistical realism, *Nature Communications*, 14, 2037.

High-resolution trajectory data collection

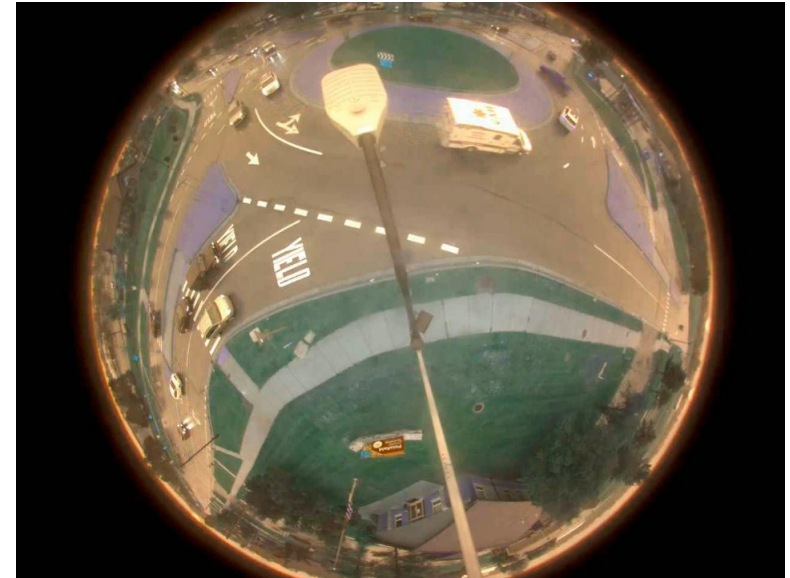
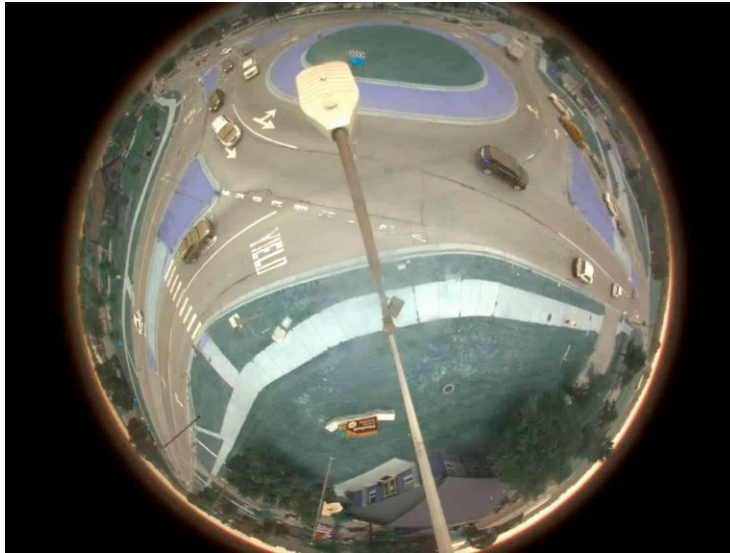


Crash/Near-Miss Detection

Authority: 1949 PA 300, Sec 257.622 Compliance Required: MHP/SA/ISE Penalty: \$100 and/or 90 days (See 1102026)		External # 1187745		Crash ID 2332688		Page: 01 of 01 File Class: 93061	
STATE OF MICHIGAN TRAFFIC CRASH REPORT						Incident # 210008498	
CR# MI 8190600		Department Name Pittsfield Township Police Department				Reviewer HORNBECK (03135)	
Crash Date 07/19/2021	Crash Time 15:42	No. of Units 02	Crash Type Angle	Special Circumstances <input checked="" type="checkbox"/> None <input type="checkbox"/> Fleeting Police		Special Checks <input type="checkbox"/> Hit and Run <input type="checkbox"/> School Bus <input type="checkbox"/> Animal	
County 81 - Washtenaw	Traffic Control Yield Sign	Position to Roadway On the Road		Weather Clear		Area INTR Roundabout	
City/Twp 11 - Pittsfield Twp	Contributing Circumstances Fuel None		2nd	Light Daylight	Road Surface Condition Dry	Total Lanes 02	Speed Limit 45
Work Zone (if applicable) Type		Workers Present	Activity	Location			

Near-Miss Detection (More than 100 times of number of crashes)

Crash verified with police report



Naturalistic Driving Environment Simulation

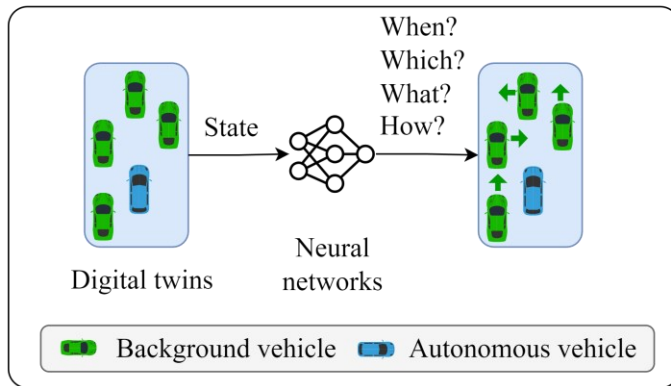
**Example 1:
Angle crash caused by failure to yield**

Naturalistic and Adversarial Driving Environment (NADE)



Real world

+



Intelligent testing environment

→



Augmented world

We aim to know

1 crash every
1 injury every
1 fatality every



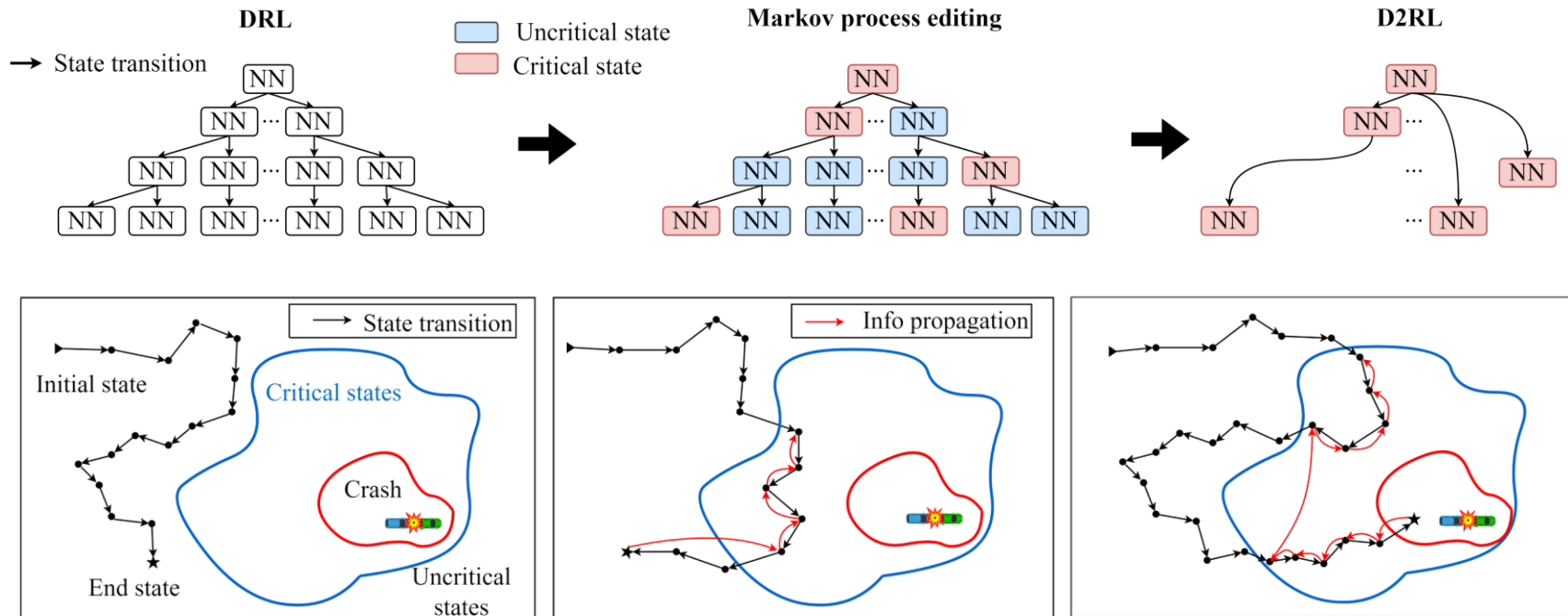
miles
miles
miles

using small number of testing miles

Unbiasedness

Efficiency

Dense Deep Reinforcement Learning (D2RL)

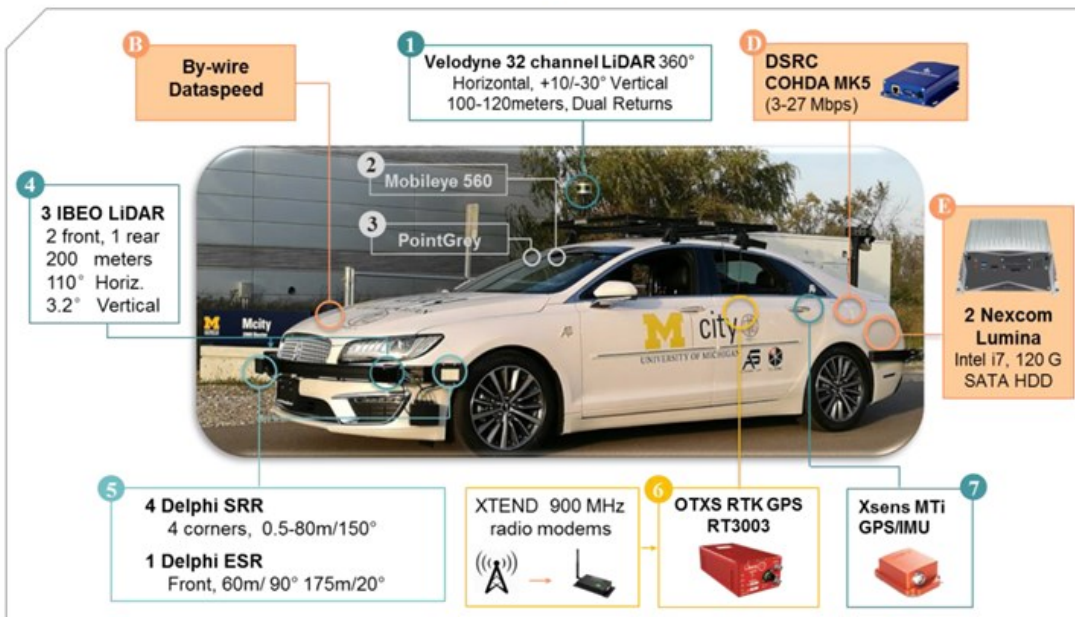


Feng et al., 2023. Dense Reinforcement Learning for Safety Validation of Autonomous Vehicles. *Nature*, 615, 620-627.

Adversarial Examples

Safety Assessment for Autoware

Hardware stack: UM OpenCAV Platform



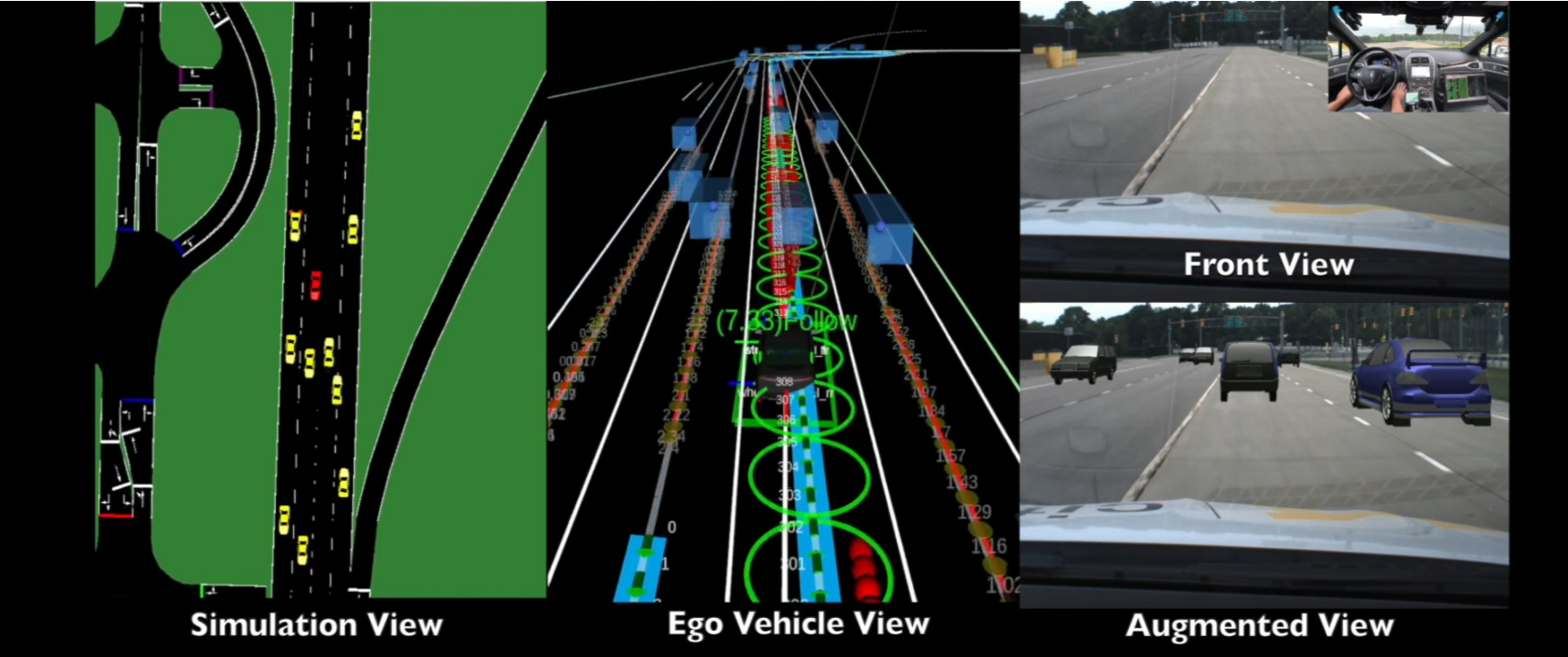
Software stack: Autoware.AI



Robot Operating System (ROS)



Mcity Mixed Reality Test

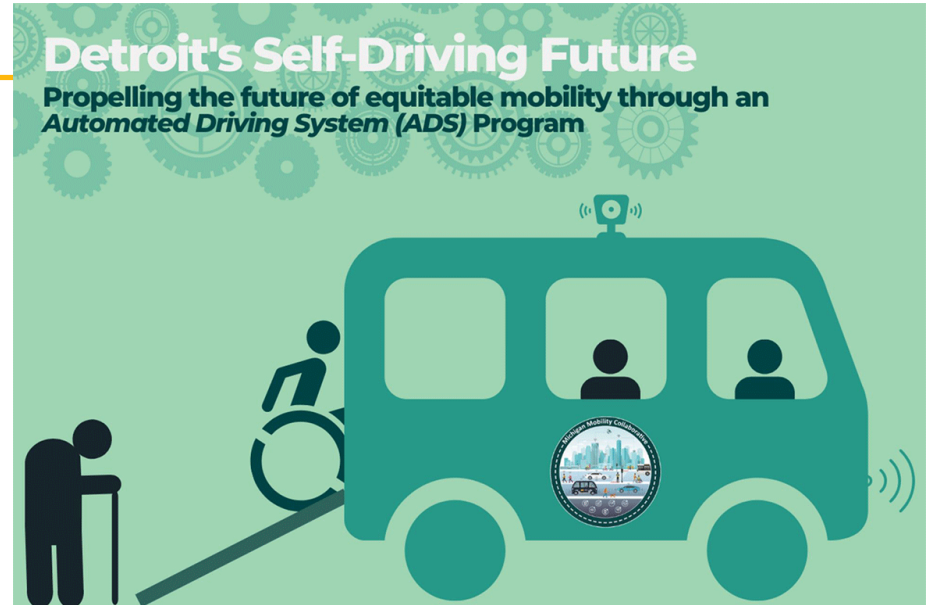


Detroit ADS Demonstration Project

Office of Mobility Innovation to Launch Self-Driving Shuttle Pilot to Improve Quality of Life for Older Adults and People with Disabilities

JUL 26 2023 OFFICE OF MOBILITY INNOVATION

The self-driving shuttle will be tested through the Mcity Safety Assessment Program before deployment.



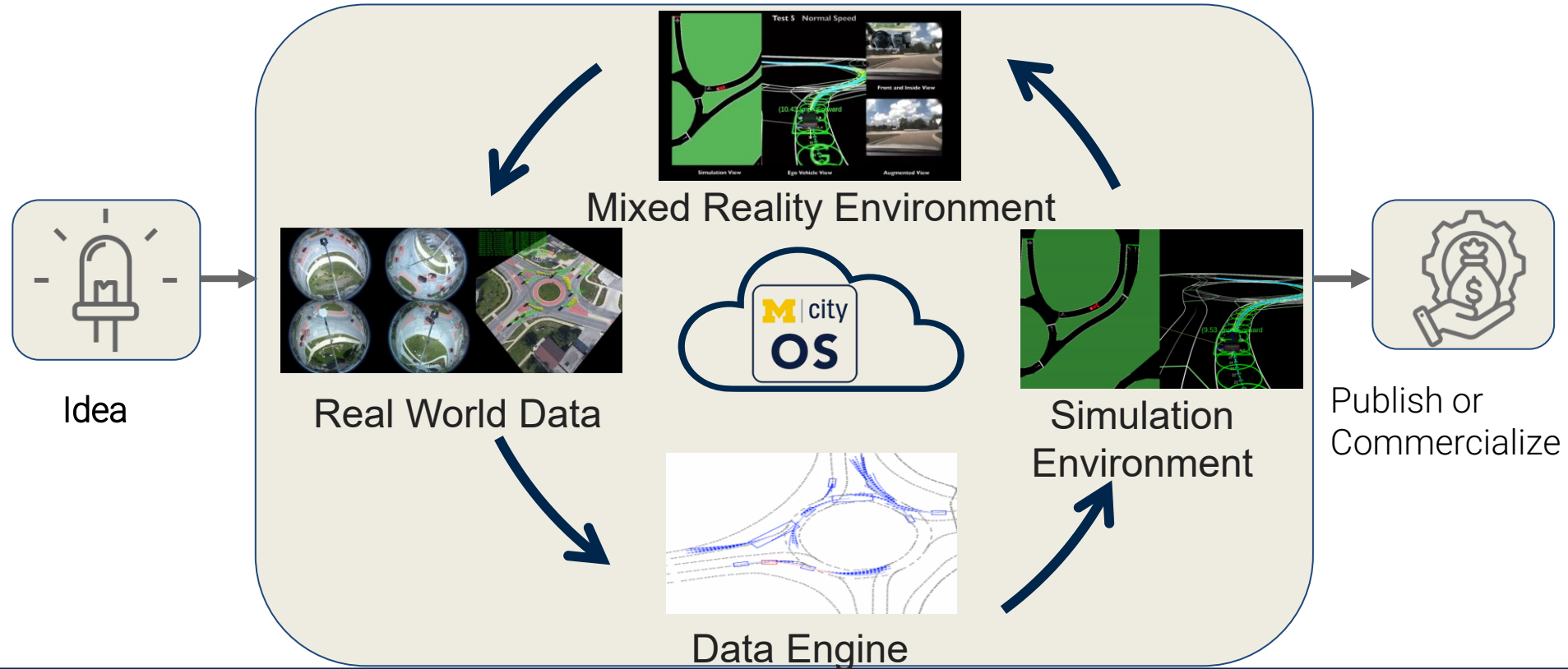
Mcity 2.0: A Makerspace for Mobility Innovations

Develop the Mcity Test Facility into a **fully autonomous, mixed reality, remote-capable facility.**

The focus of the project is to build digital infrastructure upon physical infrastructure for AV testing.



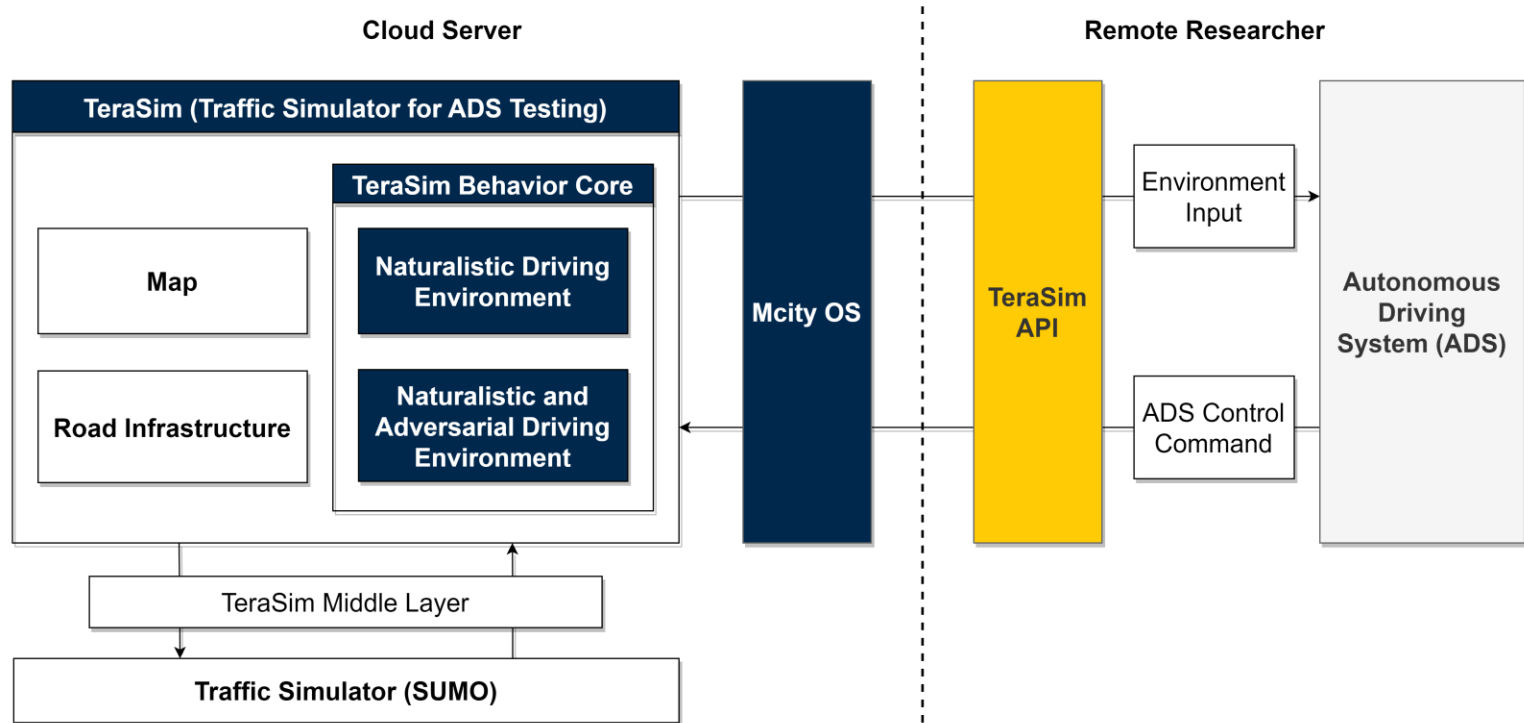
Mcity 2.0 From The Researcher's Perspective



Mcity 2.0 Digital Infrastructure

TeraSim

A cloud-based traffic environment simulator for testing autonomous vehicles



Remote Testing



AV Development and Testing is a Multidisciplinary Research Problem

Automotive
Engineering

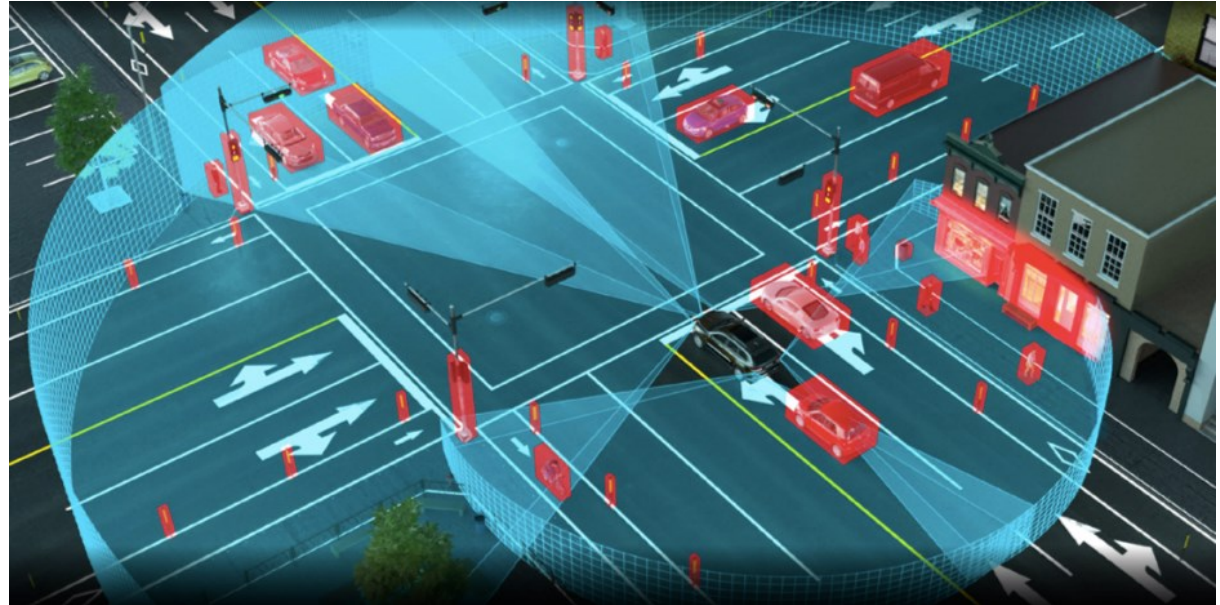


Artificial
Intelligence



Transportation
Engineering

- Both development and testing of automated vehicles need a naturalistic driving environment.



Selected Publications and Media Coverage

- Feng et al., 2023. Dense Reinforcement Learning for Safety Validation of Autonomous Vehicles. *Nature*, 615, 620-627.
- Yan et al., 2023, Learning naturalistic driving environment with statistical realism, *Nature Communications*, 14, 2037.
- Mims, C. How Will We Know When Self-Driving Cars Are Safe? When They Can Handle the World's Worst Drivers. *Wall Street Journal* (2023).



March 23, 2023 *Nature*



2023 *Nature Communications*



May 20, 2023 *Wall Street Journal*



M | city

UNIVERSITY OF MICHIGAN

LEADING THE MOBILITY
TRANSFORMATION